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CENTRAL FAX CENTER  
NOV 07 2011**

Attorney Docket No.: 20208.0002U1  
Patent No.: 6,302,845

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re	)	
	)	
WILLIAM TAO SHI et al.	)	Art Unit: 3737
	)	
Application No. 09/272,764	)	Examiner: Jaworski, Francis J.
	)	
Filing Date: March 19, 1999	)	Confirmation No. 6065
	)	
For: METHOD AND SYSTEM FOR	)	
PRESSURE ESTIMATION USING	)	
SUBHARMONIC SIGNALS FROM	)	
MICROBUBBLE-BASED	)	
ULTRASOUND CONTRAST AGENTS	)	

**RESPONSE TO REQUEST FOR INFORMATION**

Mail Stop PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ballard Spahr LLP  
Customer Number 23859

November 7, 2011

Sir:

Patentees are in receipt of a Request for Information mailed September 07, 2011. In the Request, Patentees are asked to provide additional information in support of a Petition for Reconsideration under 37 C.F.R. 1.378(e). In particular, Patentees are asked 1) to explain, from Ms. Lisa Lau's point of view, how patent matters were transferred to the Thomas Jefferson University Office of Technology Transfer & Business Development ("the OTT") following the termination of Mr. Weber in 2003 and the subsequent dissolution of the IP Counsel Group in 2004; and 2) to verify that U.S. Pat. No. 6,302,845 ("the '845 Patent") is the only OTT case that has gone abandoned for failure to pay maintenance fees. In response, Patentees attach hereto an affidavit of Ms. Lisa Lau, Senior Technology Licensing Associate at the Thomas Jefferson University Office of Technology Transfer & Business Development and an affidavit of Pei-Chun

Atlanta #1232203 v1

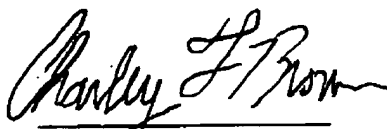
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Tsai, Assistant Director at the Thomas Jefferson University Office of Technology Transfer &  
Business Development.

No fees are believed due; however, Patentees authorize the Commissioner to charge to  
Deposit Account No. 14-0629 any fees that are required.

Respectfully submitted,  
Ballard Spahr LLP

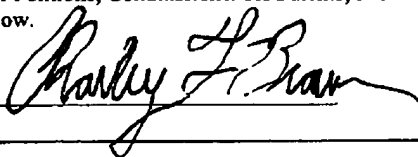
  
Charley F. Brown  
Registration No. 52,658

Ballard Spahr LLP  
Customer No. 23859  
(678) 420-9300 (phone)  
(678) 420-9301 (fax)

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted via  
facsimile transmission to: Office of Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, at 571-  
273-8300 on the date indicated below.

Charley F. Brown



11/7/11  
Date

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**AFFIDAVIT OF LISA LAU**

I, LISA LAU, Senior Technology Licensing Associate at the Thomas Jefferson University Office of Technology Transfer & Business Development ("OTT"), declare as follows:

1. I make the following statements, as a person with direct knowledge of the cause of unavoidable delay in this case, to explain how patent matters were transferred to OTT, and then to outside counsel, following the termination of Mr. Weber in 2003, the subsequent dissolution of the IP Counsel Group, and the resignation of Thomas Jefferson University's lead counsel.

- a. Following the termination of Mr. Weber in 2003, the subsequent dissolution of the IP Counsel Group, and the resignation of Thomas Jefferson University's lead counsel, patent matters that had been handled by Mr. Weber and the IP Counsel Group were transferred to OTT, then to outside counsel, as follows.
- b. OTT was notified by University Counsel ("UC") on July 1, 2003 to pick up patent case files that were located in the UC's office. The files were scattered in different file cabinets in various offices. One of the file cabinets could not be opened, and OTT had a locksmith come and open it.
- c. OTT sorted through all of the case files from A to Z and divided the files into three groups. These three groups of files were to be transferred to outside counsel. Subsequently, one group of files was transferred to Drinker Biddle and Reath LLP, one group of files was transferred to Nixon Peabody LLP, and one group of files was transferred to Woodcock Washburn LLP.

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- d. One month after this initial transfer to outside counsel, the lead patent attorney at Woodcock Washburn, who was in charge of OTT's cases, moved to Cozen O'Connor LLP. Thus the case files first transferred to Woodcock Washburn were further divided into two groups, and one group of files was transferred to Drinker Biddle and Reath LLP and the other group of files was transferred to Nixon Peabody LLP.
- e. Since July 1, 2003, outside counsel has managed all of OTT's patent cases.

2. I, LISA LAU, further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true.

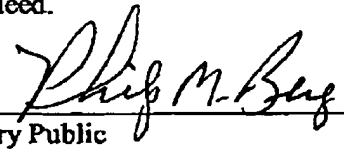
  
LISA LAU

11-4-11  
DATE

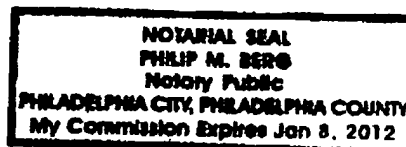
State of Pennsylvania

County of Philadelphia

On this 4th day of November, 2011, before me, a Notary Public, came LISA LAU, to me known and known to be the individual described in and who executed the foregoing affidavit, and he/she duly acknowledged the same to be his/her free act and deed.

  
Notary Public

My Commission Expires: Jan 8, 2012



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ULTRASOUND CONTRAST AGENTS	)	

**AFFIDAVIT OF PEI-CHUN TSAI**

I, **PEI-CHUN TSAI**, Assistant Director at the Thomas Jefferson University Office of Technology Transfer & Business Development (the "OTT"), declare as follows:

1. I have reviewed the OTT's records, and I verify that, to the best of my knowledge, U.S. Patent No. 6,302,845 is the only patent handled by the OTT that has expired due to a failure to pay maintenance fees.
2. I, **PEI-CHUN TSAI**, further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true.

Atlanta #1237989 v1

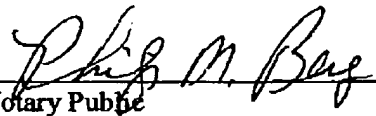
Attorney Docket No.: 20208.0002U1  
Patent No.: 6,302,845

  
PEI-CHUN TSAI

November 4, 2011  
DATE

State of Pennsylvania  
County of Philadelphia

On this 4<sup>th</sup> day of November, 2011, before me, a Notary Public, came PEI-CHUN TSAI, to me known and known to be the individual described in and who executed the foregoing affidavit, and he/she duly acknowledged the same to be his/her free act and deed.

  
Notary Public

My Commission Expires: Jan 8, 2012

